

## Solutions for Sample Questions for Midterm 2 (CS 421 Fall 2014)

On the actual midterm, you will have plenty of space to put your answers.  
Some of these questions may be reused for the exam.

1. Write the definition of an OCAML variant type **reg\_exp** to express abstract syntax trees for regular expressions over a base character set of booleans. Thus, a boolean is a **reg\_exp**, epsilon is a **reg\_exp**, the concatenation of two **reg\_exp**'s is a **reg\_exp**, the "choice" of two **reg\_exp**'s is a **reg\_exp**, and the Kleene star of a **reg\_exp** is a **reg\_exp**.

### Solution:

```
type reg_exp =  
  Char of bool  
  | Epsilon  
  | Concat of (reg_exp * reg_exp)  
  | Choice of (reg_exp * reg_exp)  
  | Kleene_star of reg_exp  
  | Paren of reg_exp (* I would accept it with this case missing *)
```

2. Given the following OCAML datatype:

```
type int_seq = Null | Snoc of (int_seq * int)
```

write a tail-recursive function in OCAML **all\_pos : int\_seq -> bool** that returns **true** if every integer in the input **int\_seq** to which **all\_pos** is applied is strictly greater than 0 and **false** otherwise. Thus **all\_pos (Snoc(Snoc(Snoc(Null,3),5),7))** should return **true**, but **all\_pos (Snoc(Null,~1))** and **all\_pos (Snoc(Snoc(Null, 3),0))** should both return **false**.

### Solution:

```
let rec all_pos s =  
  (match s with Null -> true  
   | Snoc(seq, x) -> if x <= 0 then false else all_pos seq);;
```

3. Given a polymorphic type derivation for

$$\{\} \vdash \text{let pair} = \text{fun } x \rightarrow (x, x) \text{ in pair}(\text{pair } 3) : ((\text{int} * \text{int}) * (\text{int} * \text{int}))$$

**Solution:**

Let  $\Gamma = \{\text{pair} : \forall a. 'a \rightarrow 'a * 'a\}$ .

The infix data constructor , (comma) is treated as a Binary Operator and has type  $\forall a 'b. 'a \rightarrow 'b \rightarrow 'a * 'b$

Let LeftTree =

$$\text{BinOp} \frac{\text{Var} \frac{}{\{x : 'a\} \vdash x} \quad \text{Var} \frac{}{\{x : 'a\} \vdash x : 'a} \quad \text{Instance: } 'a \rightarrow 'a, 'b \rightarrow 'a}{\text{Fun} \frac{\{x : 'a\}. \vdash (x, x) : 'a * 'a}{\{\} \vdash \text{fun } x \rightarrow (x, x) : 'a \rightarrow 'a * 'a}}$$

Let RightTree =

$$\text{App} \frac{\text{Var} \frac{\text{Instance: } 'a \rightarrow \text{int} * \text{int}}{\Gamma \vdash \text{pair} : \text{int} * \text{int} \rightarrow ((\text{int} * \text{int}) * (\text{int} * \text{int}))} \quad \text{App} \frac{\text{Var} \frac{\text{Instance: } 'a \rightarrow \text{int}}{\Gamma \vdash \text{pair} : \text{int} \rightarrow \text{int} * \text{int}} \quad \text{Const} \frac{}{\Gamma \vdash 3 : \text{int}}}{\Gamma \vdash \text{pair } 3 : \text{int} * \text{int}}}{\{\text{pair} : \forall a. 'a \rightarrow 'a * 'a\} \vdash \text{pair}(\text{pair } 3) : ((\text{int} * \text{int}) * (\text{int} * \text{int}))}$$

Then the full proof is

$$\text{Let} \frac{\text{LeftTree} \quad \text{RightTree}}{\{\} \vdash \text{let pair} = \text{fun } x \rightarrow (x, x) \text{ in pair}(\text{pair } 3) : ((\text{int} * \text{int}) * (\text{int} * \text{int}))}$$

4. Give a (most general) unifier for the following unification instance. Capital letters denote variables of unification. Show your work by listing the operation performed in each step of the unification and the result of that step.

$$\{X = f(g(x),W); h(y) = Y; f(Z,x) = f(Y,W)\}$$

**Solution:**

Unify  $\{X = f(g(x),W); h(y) = Y; f(Z,x) = f(Y,W)\}$   
 $=$  Unify  $\{h(y) = Y; f(Z,x) = f(Y,W)\} \circ \{X \rightarrow f(g(x),W)\}$  by eliminate  $(X = f(g(x),W))$   
 $=$  Unify  $\{Y = h(y); f(Z,x) = f(Y,W)\} \circ \{X \rightarrow f(g(x),W)\}$  by orient  $(h(y) = Y)$   
 $=$  Unify  $\{f(Z,x) = f(h(y),W)\} \circ \{X \rightarrow f(g(x),W), Y \rightarrow h(y)\}$  by eliminate  $(Y = h(y))$   
 $=$  Unify  $\{Z = h(y); x=W\} \circ \{X \rightarrow f(g(x),W), Y \rightarrow h(y)\}$  by decompose  $(f(Z,x) = f(h(y),W))$   
 $=$  Unify  $\{x = W\} \circ \{X \rightarrow f(g(x),W), Y \rightarrow h(y), Z \rightarrow h(y)\}$  by eliminate  $(Z = h(y))$   
 $=$  Unify  $\{W = x\} \circ \{X \rightarrow f(g(x),W), Y \rightarrow h(y), Z \rightarrow h(y)\}$  by orient  $(x = W)$   
 $=$  Unify  $\{\}$  by eliminate  $(W = x)$   
 Answer:  $\{X \rightarrow f(g(x),x), Y \rightarrow h(y), Z \rightarrow h(y), W \rightarrow x\}$

5. For each of the following descriptions, give a regular expression over the alphabet  $\{a,b,c\}$ , and a regular grammar that generates the language described.

- a. The set of all strings over  $\{a, b, c\}$ , where each string has at most one **a**

**Solution:**  $(b \vee c)^*(a \vee \epsilon)(b \vee c)^*$

$$\langle S \rangle ::= b \langle S \rangle \mid c \langle S \rangle \mid a \langle NA \rangle \mid \epsilon$$

$$\langle NA \rangle ::= b \langle NA \rangle \mid c \langle NA \rangle \mid \epsilon$$

- b. The set of all strings over  $\{a, b, c\}$ , where, in each string, every **b** is immediately followed by at least one **c**.

**Solution:**  $(a \vee c)^*(bc(a \vee c))^*$

$$\langle S \rangle ::= a \langle S \rangle \mid c \langle S \rangle \mid b \langle C \rangle \mid \epsilon$$

$$\langle C \rangle ::= c \langle S \rangle$$

- c. The set of all strings over  $\{a, b, c\}$ , where every string has length a multiple of four.

**Solution:**  $((a \vee b \vee c)(a \vee b \vee c)(a \vee b \vee c)(a \vee b \vee c))^*$

$$\langle S \rangle ::= a \langle TH \rangle \mid b \langle TH \rangle \mid c \langle TH \rangle \mid \epsilon$$

$$\langle TH \rangle ::= a \langle TW \rangle \mid b \langle TW \rangle \mid c \langle TW \rangle$$

$$\langle TW \rangle ::= a \langle O \rangle \mid b \langle O \rangle \mid c \langle O \rangle$$

$$\langle O \rangle ::= a \langle S \rangle \mid b \langle S \rangle \mid c \langle S \rangle$$

6. Consider the following grammar:

$\langle S \rangle ::= \langle A \rangle \mid \langle A \rangle \langle S \rangle$

$\langle A \rangle ::= \langle \text{Id} \rangle \mid ( \langle B \rangle$

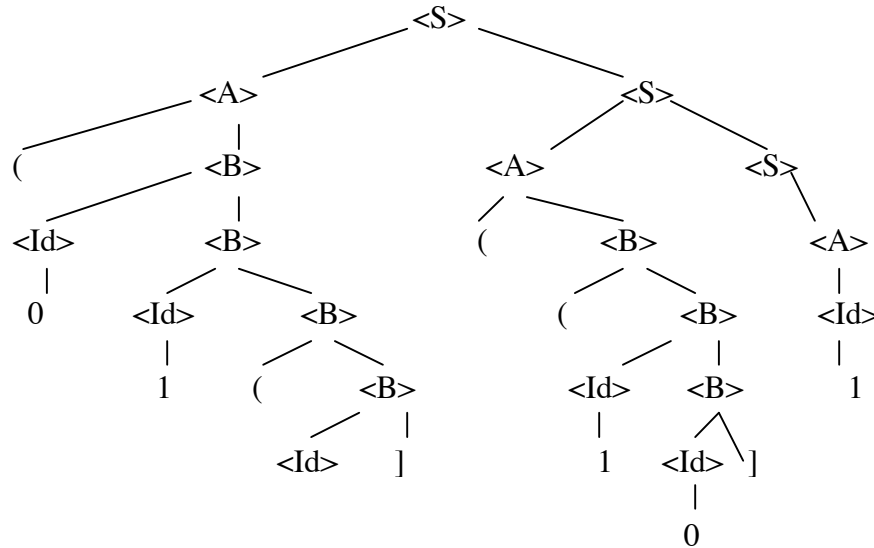
$\langle B \rangle ::= \langle \text{Id} \rangle \mid \langle \text{Id} \rangle \langle B \rangle \mid ( \langle B \rangle$

$\langle \text{Id} \rangle ::= 0 \mid 1$

For each of the following strings, give a parse tree for the following expression as an  $\langle S \rangle$ , if one exists, or write "No parse" otherwise:

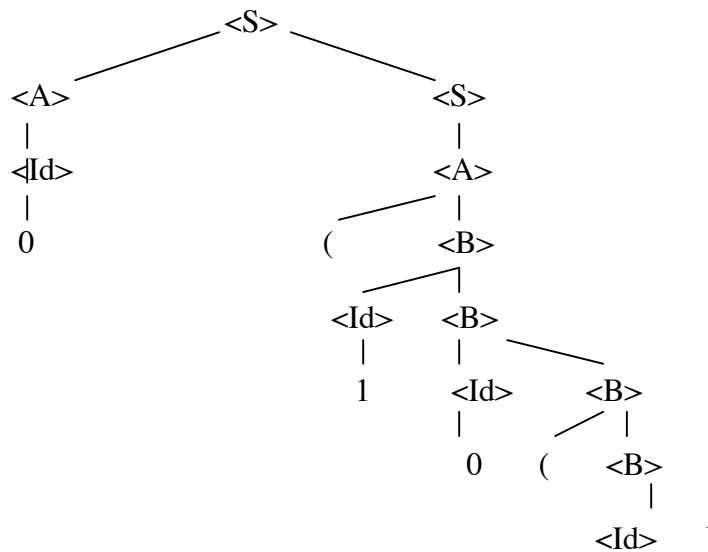
a.  $(01(1]((10]1$

**Solution:**



b.  $0(10(1]$

**Solution:**



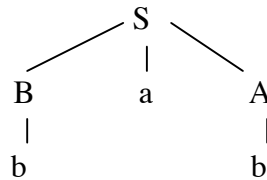
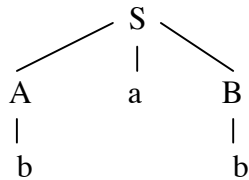
c.  $(0(101]0]$

**Solution:** No parse tree

7. Demonstrate that the following grammar is ambiguous (Capitals are non-terminals, lowercase are terminals):

$$\begin{aligned} S &\rightarrow A a B \mid B a A \\ A &\rightarrow b \mid c \\ B &\rightarrow a \mid b \end{aligned}$$

**Solution:** String: bab



8. Write an unambiguous grammar generating the set of all strings over the alphabet  $\{0, 1, +, -\}$ , where  $+$  and  $-$  are infix operators which both associate to the left and such that  $+$  binds more tightly than  $-$ .

**Solution:**

$$\begin{aligned} \langle S \rangle &::= \langle \text{plus} \rangle \mid \langle S \rangle - \langle \text{plus} \rangle \\ \langle \text{plus} \rangle &::= \langle \text{id} \rangle \mid \langle \text{plus} \rangle + \langle \text{id} \rangle \\ \langle \text{id} \rangle &::= 0 \mid 1 \end{aligned}$$

9. Write an ocaml yacc parser for the language

$$\langle S \rangle = \langle V \rangle \mid \text{fun } \langle V \rangle \text{ -} \rangle \langle S \rangle \mid \langle S \rangle \langle S \rangle$$

where  $\langle V \rangle$  is any identifier, application  $(\langle S \rangle \langle S \rangle)$  associates to the left and has higher precedence than  $\text{fun } \langle V \rangle \text{ -} \rangle \langle S \rangle$ . You should include in your header one or more datatypes for the abstract syntax trees of the language. You declarations should give the constructors for the datatype **token** of the tokens input into the parser.

**Solution:**

```
%{
```

```
type term = Var of string | Fun of (string * term) | App of (term * term)
```

```
%}
```

```
%token <string> IDENT
```

```
%token ARROW FUN EOF
```

```
%start main
```

**%type <term> main**

**% %**

**main:**

**term EOF { \$1 }**

**term:**

**not\_app\_pos\_fun { \$1 }**

**| pos\_app\_no\_fun not\_app\_pos\_fun { App(\$1, \$2) }**

**pos\_app\_no\_fun:**

**pos\_app\_no\_fun IDENT { App(\$1, Var \$2) }**

**| IDENT { Var \$1 }**

**not\_app\_pos\_fun:**

**FUN IDENT ARROW term { Fun(\$2,\$4) }**

**| IDENT { Var \$1 }**